



<b>Form 1449 (Modified)</b>  <b>Information Disclosure Statement By Applicant</b>  (Use Several Sheets if Necessary)	Atty Docket No.	HLAVP002D1
	Application No.:	10/623,182
	Inventor	E. Hlavka et al.
	Group	3736
	Filing Date	July 18, 2003
	Mailing Date	November 6, 2003

**U.S. Patent or Published Documents**

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub- Class	Filing Date
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### Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
<i>2/8</i>		WO99/00059	1/7/99	WO				
		WO00/03759	1/27/00	WO				
		WO 00/60995	10/19/2000	WO				

### Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
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		Oz et al., U.S. Patent Application Pub. No. 2001/0005787 entitled, "Method and Apparatus for Circulatory Valve Repair".
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		Goldfarb et al., U.S. Patent Application Pub. No. 2002/0013571 entitled, "Methods and Device for Capturing and Fixing Leaflets in Valve Repair".
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		Zipes, Douglas P. MD, "Ablation of Free Wall Accessory Pathways" 1994 Catheter Ablation of Arrhythmias
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		Maisano, Francesco et al., "The Double-Orifice Technique as a Standardized Approach to Treat Mitral Regurgitation Due to Severe Myxomatous Disease: Surgical Technique"
		"Anatomical Landscape of Heartport Technology", Heartport Common Stock Prospectus, April 25, 1996, Cardiology Roundtable interviews
		<a href="http://www.hsforum.com/vol2/issue2/1999-4963_tables.html">http://www.hsforum.com/vol2/issue2/1999-4963_tables.html</a>
		<a href="http://www.hsforum.com/vol2/issue2/1999-4963_figures.html">http://www.hsforum.com/vol2/issue2/1999-4963_figures.html</a>
		Nagy, Zsolt et al., "Mitral Annuloplasty with a Suture Technique" European Journal of Cardio-Thoracic Surgery 18 (2000) 739-740
		Morales, David L.S. et al., "Development of an Off Bypass Mitral Valve Repair" Department of Surgery, Columbia University, College of Physicians and Surgeons, New York, NY

Examiner <i>2/8</i>	Date Considered <i>8/9/05</i>
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Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

# ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

<b>Title of Invention</b>	<b>METHOD AND APPARATUS FOR PERFORMING CATHETER-BASED ANNULOPLASTY USING LOCAL PLICATIONS</b>
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Application Number : 10/623182  
Confirmation Number: 2680  
First Named Applicant: Edwin Hlavka  
Attorney Docket Number: MICO-06B  
Art Unit: 3736



Examiner:

Search string: ( 5830224 or 5931818 or 6068637 or 6190353 or 6231587 or 6287317 or 6332089 or 6402781 or 6447522 or 6461366 or 6530952 or 6537314 or 6544230 or 6626930 or 6629534 or 6655386 or 6656221 or 6676702 or 20010049492 or 20020016628 or 20020087169 or 20020087173 or 20020095167 or 20020103532 or 20020169502 or 20020169504 or 20020183836 or 20020183837 or 20020183838 or 20020183841 or 20030069636 or 20030078654 or 20030083538 or 20030105520 or 20030130730 or 20030144697 or 20030171806 or 20030212453 or 20040003819 ).pn

## US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
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	2	5931818	1999-08-03	Werp et al.		604	270
	3	6068637	2000-05-30	Popov et al.		606	159
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	6	6287317	2001-09-11	Makower et al.	B1	606	153
	7	6332089	2001-12-18	Acker et al.	B1	600	424
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Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
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	19	20030171806	2003-09-11	Mathis et al.	A1	623	2.36
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<i>V</i>	21	20040003819	2004-01-08	St. Goar et al.	A1	128	898

### Remarks

Note: Remarks are not for responding to an office action.

This submission is a Supplemental Information Disclosure Statement. Applicant is also filing a Supplemental Information Disclosure Statement containing the foreign reference by U.S. mail on even date herewith.

### Signature

Examiner Name	Date
<i>W. J. K.</i>	<i>8/9/05</i>